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*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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See MPEP chapter 600 concerning utility patent application contents.

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

- ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

6. ☐ Application Data Sheet. See 37 CFR 1.76
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:
- (a) ☐ Continuation of prior application No. 09/732,606

- Continuation-in-part (CIP) of prior application No.: 09/732,606

Prior application information: Examiner Frantz Jules Group / Art Unit 3617  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

- ☐
- Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

City	Chicago	Telephone	312-368-1300	Fax	312-368-0034
Country	U.S.A.				

<i>Date</i>	January 24, 2002
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Signature J. M. Hayes

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.**

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$944.00

## Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

January 24, 2002

Stuart H. Thomson

1095-1066.3

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

12-0913

Deposit  
Account  
Name

- ☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR § 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$)

101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) \$740.00

### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	22 -20** = 2	X 18.00 =	36.00
Independent Claims	5 -3** = 2	X 84.00 =	168.00
Multiple Dependent			

Large Entity Small Entity

Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	
103 18 203 9	Claims in excess of 20
102 84 202 42	Independent claims in excess of 3
104 280 204 140	Multiple dependent claim, if not paid
109 84 209 42	** Reissue independent claims over original patent
110 18 210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$204.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	Fee Paid
105 130 205 65	Surcharge - late filing fee or oath
127 50 227 25	Surcharge - late provisional filing fee or cover sheet
139 130 139 130	Non - English specification
147 2,520 147 2,520	For filing a request for <i>ex parte</i> reexamination
112 920* 112 920*	Requesting publication of SIR prior to Examiner action
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action
115 110 215 55	Extension for reply within first month
116 400 216 200	Extension for reply within second month
117 920 217 460	Extension for reply within third month
118 1,440 218 720	Extension for reply within fourth month
128 1,960 228 980	Extension for reply within fifth month
119 320 219 160	Notice of Appeal
120 320 220 160	Filing a brief in support of an appeal
121 280 221 140	Request for oral hearing
138 1,510 138 1,510	Petition to institute a public use proceeding
140 110 240 55	Petition to revive - unavoidable
141 1,280 241 640	Petition to revive - unintentional
142 1,280 242 640	Utility issue fee (or reissue)
143 460 243 230	Design issue fee
144 620 244 310	Plant issue fee
122 130 122 130	Petitions to the Commissioner
123 50 123 50	Processing fee under 37 CFR § 1.17(q)
126 180 126 180	Submission of Information Disclosure Statement
581 40 581 40	Recording each patent assignment per property (times number of properties)
146 740 246 370	Filing a submission after final rejection (37 CFR § 1.129(a))
149 740 249 370	For each additional invention to be examined (37 CFR § 1.129(b))
179 740 279 370	Request for Continued Examination (RCE)
169 900 169 900	Request for expedited examination of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0.00

## SUBMITTED BY

Name (Print/Type)

John W. Hayes

Registration No.  
(Attorney/Agent)

33,900

## Complete (if applicable)

Telephone

312-368-1300

Signature

*John W. Hayes*

Date

January 24, 2002

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